

# **Benjamin P. Edwards**

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William S. Boyd School of Law  
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## **EDUCATION**

### **Columbia Law School (2007)**

JURIS DOCTOR

Harlan Fiske Stone Scholar (2005), James Kent Scholar (2006)

Parker School of Foreign and Comparative Law Certificate for Achievement

Teaching Assistant to Professors Louis Henkin and Jeffrey Gordon

Business Editor, *Columbia Human Rights Law Review*

Executive Editor, *Columbia Journal of Environmental Law*

### **University of South Carolina Honors College (2004)**

BACHELOR OF ARTS IN PHILOSOPHY, *magna cum laude*

Honors: Phi Beta Kappa, Omicron Delta Kappa

Algernon Sydney Sullivan Award (given to top overall male and female graduate)

Josiah Morse Award (Philosophy Department Honor)

Thesis: *Rhetoric Reborn: How the Isocratic Model of Rhetoric Surpasses Plato's Criticisms*

Student Body Treasurer, 2003–2004

## **ACADEMIC APPOINTMENTS**

### **University of Nevada, Las Vegas Boyd School of Law, Las Vegas, Nevada 2017-Present**

*Associate Professor of Law*

Course Package: Securities Regulation, Business Organizations, Professional Responsibility

### **Barry University Dwayne O. Andreas School of Law, Orlando, Florida 2015-2017**

*Assistant Professor of Law*

Courses Taught: Business Organizations, Professional Responsibility, & Securities Regulation

### **Michigan State University College of Law, East Lansing, Michigan 2012–2015**

*Founding Director, Investor Advocacy Clinic, 2013–2015*

*Teaching Fellow, Immigration Law Clinic, 2012–2013*

Courses Taught: Investor Advocacy Clinic & Securities Litigation

**LEGAL EXPERIENCE**

**Skadden, Arps, Slate, Meagher & Flom LLP**, New York, New York, 2008—2012

*Associate, Securities Litigation Group*

- Extensive motion practice in federal and state courts for multi-district litigation arising out of the Bernard Madoff Ponzi scheme.
- General federal securities litigation involving initial public offerings and mortgage-backed securities.

**United States District Court (W.D.T.N.)**, Memphis, Tennessee, 2007—2008

*Law Clerk to the Honorable Samuel H. Mays, Jr.*

**SELECTED PUBLICATIONS AND WORKS IN PROGRESS**

**Law Reviews, Journals, & Book Chapters**

*Of Marks and Markets: An Empirical Study of Trademark Litigation*, 75 S. CAROLINA L. REV. 1 (2023) (with Jessica Kiser and Sean Wright)

*Stockbroker Secrets*, \_\_\_ UNIVERSITY OF PENNSYLVANIA J. OF BUS. L. \_\_\_ (forthcoming) (with James Fallows Tierney)

*Supreme Risk*, 74 FLORIDA L. REV. 543 (2022)

STATES, FIRMS, AND THEIR LEGAL FICCTIONS: ATTRIBUTING IDENTITY AND RESPONSIBILITY TO ARTIFICIAL ENTITIES (chapter in manuscript undergoing peer review at Cambridge University Press)

*Adversarial Failure*, 77 WASHINGTON & LEE L. REV. 1057 (2020)

*The Fate of State Investor Protection*, 21 TENN. J. BUS. L. 213 (2020) (symposium)

*Uncovering the Hidden Conflicts in Securities Class Action Litigation: Lessons from the State Street Case*, 75 BUSINESS LAWYER 1551 (2020) (with Anthony A. Rickey) (peer-reviewed)

*Venture Bearding*, 52 U.C. DAVIS L. REV. 1873 (2019) (with Ann C. McGinley)

*Cybersecurity Oversight Liability*, 35 GA. ST. U. L. REV. 663 (2019)

*Crafting Fee-Shifting Policy*, 20 TRANSACTIONS: TENN. J. BUS. L. 933 (2019) (invited symposium)

*Conflicts, Confidentiality, and other Concerns: The Promise and Perils for Lawyers Serving on Corporate Boards*, 64 ROCKY MOUNTAIN MINERAL LAW FOUNDATION ANNUAL INSTITUTE 3 (2018) (peer-reviewed)

*The Professional Prospectus*, 74 WASHINGTON & LEE L. REV. 1457 (2017)

*Conflicts & Capital Allocation*, 78 OHIO ST. L.J. 183 (2017)

*Distributed Governance*, 59 WM. & MARY L. REV. ONLINE 1 (2017) (with Carla L. Reyes & Nizan Geslevich Packin)

*The Dark Side of Self-Regulation*, 85 U. CIN. L. REV. 574 (2018)

*Arbitration's Dark Shadow*, 18 NEV. L. J. 427 (2018) (symposium)

*The Rise of Automated Investment Advice: Can Robo-Advisers Rescue the Retail Market?*, 93 CHI-KENT L. REV. 97 (2017) (symposium)

*Finra Governance Review: Public Governors Should Protect the Public Interest*, 24 PIABA B.J. 369 (2017) (with Andrew Stoltmann)

*Regulating Culture: Improving Corporate Governance with Anti-Arbitration Provisions for Whistleblowers*, 58 WM. & MARY L. REV. ONLINE 41 (2016) (with Nizan Geslevich Packin)

*The Value of Uncertainty: Jurisdictional Defects in High-Stakes Securities Litigation*, 110 NW. U. L. REV. 283, 110 NW. U. L. REV. ONLINE 19 (2015) (with Cathy Hwang)

*Disaggregated Classes*, 9 VA. L. & BUS. REV. 305 (2015)

*Crafting Comment Letters*, 18 TRANSACTIONS: TENN. J. BUS. L. 382 (2016) (with Nicole G. Iannarone)

*The Fragmented Regulation of Investment Advice: A Call for Harmonization*, 4 MICH. BUS. & ENTRE. L. REV. 47 (2015) (with Christine Lazaro)

*Fiduciary Duty & Investment Advice: Will a Uniform Fiduciary Duty Make a Material Difference?*, 14 J. OF BUS. & SEC. L. 105 (2014)

*Welcoming a Post-DOMA World*, 47 FAM. L.Q. 173 (2013)

*When Fear Rules in Law's Place: Systemic Intimidation May Drive Plaintiffs out of Court*, 20 VA. J. SOC. POL'Y & L. 437 (2013)

*Rolling Back the Economic Loss Doctrine in Securities Disputes against Financial Intermediaries*, 20 PIABA B.J. 39 (2013) (peer-reviewed)

*Closed-End Fund IPO Considerations*, 22 PIABA B.J. 238 (2015) (peer-reviewed)

Case Comment, *Environmental Defense v. Duke Energy Corporation*, 33 COLUM. J. ENVTL. L. 197 (2009)

## **Works in Progress**

*Nevada Business and Commercial Law* (Book with Lori Johnson)

## **Other Publications**

*Stephen Miller's Right-Wing Lawsuit Machine Must Be Stopped*, DAILY BEAST (Dec. 1, 2023)

*Long overdue, FINRA's expungement reforms are still inadequate*, FINANCIAL PLANNING (Nov. 15, 2023) (with Joe Peiffer)

*DeSantis' Bud Light Legal Threats Are Dumb, Bad for Florida*, DAILY BEAST (Jul. 23, 2023)

*The Implications of Corporate Political Donations*, AMERICAN BAR ASSOCIATION HUMAN RIGHTS MAGAZINE (Oct. 24, 2022)

*The Supreme Court as a Systemic Risk*, THE UNIVERSITY OF CHICAGO BUSINESS LAW REVIEW BLOG (July 22, 2022)

*The President as CEO: Executive Privilege and Corporate Law*, THE UNIVERSITY OF CHICAGO BUSINESS LAW REVIEW BLOG (Feb. 28, 2022)

*Risk to the Global Financial System from US Court System*, OXFORD BUSINESS LAW BLOG (Sept. 27, 2021)

*The Supreme Court As A Source of Systemic Risk*, THE FINREG BLOG (Sept. 9, 2021)

*Supreme Risk for FINRA and Other SROs*, COLUMBIA BLUE SKY BLOG (Aug. 30, 2021)

*Over-eager economic development legislation may backfire*, THE NEVADA INDEPENDENT (Mar. 30, 2021)

*Should senators be allowed to trade stocks at all? Amid the obvious conflicts, probably not*, SALON (Dec. 28, 2020)

*COVID-19 bill limiting liability would strike the wrong balance*, THE HILL (Aug. 7, 2020)

*The CFPB Needs an Effective Whistleblower Program to Oversee Payday Lending*, JURIST (July 10, 2020)

*Interested in Becoming a Law Professor?*, ABA: THE YOUNG LAWYER (2020)

*Venture Bearding*, OXFORD BUSINESS LAW BLOG (Oct. 1 2019)

*Venture Bearding*, COLUMBIA LAW SCHOOL BLUE SKY BLOG (June 6, 2019)

*SEC rule merely pays lip service to investor protection*, THE HILL, (June 18, 2019)

*How to Craft Policies on Innovative Corporate Law Provisions*, COLUMBIA LAW SCHOOL BLUE SKY BLOG (May 28, 2019)

*Regulators can't be bullied by brokerage firms*, LAS VEGAS SUN, (April 11, 2019)

*CFPB confusing 'freedom of choice' with 'freedom to be fleeced'*, THE HILL, (Mar. 6, 2019) (with Christopher Odinet)

*The Legislature needs to act on payday lending*, NEVADA INDEPENDENT, (Mar. 3, 2019)

*State Leadership Needed on Consumer Protection Issues*, JURIST, (Feb. 24, 2019)

*Trump's personnel problems harm the nation's financial stability*, THE HILL, (Dec. 26, 2018)

*'Venture bearding' masks Silicon Valley's big gender problem*, THE HILL, (Nov. 6, 2018)

*Nevada lawmakers must step up on payday lending*, LAS VEGAS SUN, (Sept. 10, 2018) (discussing state regulatory resources)

*End the pious prevarication around crisis pregnancy centers*, LAS VEGAS SUN, (Aug. 17, 2018) (with Ann McGinley)

*Trump's need for instant gratification now harming markets*, THE HILL, (June 4, 2018) (discussing Presidential tweet tipping economic data)

*Fix the flaw in financial self-regulation*, THE HILL, (Mar. 19, 2018) (detailing concept from the *Dark Side of Self-Regulation*)

*It's Hard Out There for an Immigrant; Lemon Lawyers Make It Harder*, THE HILL, (Jan. 19, 2018) (with Brian Frye) (discussing concepts from *The Professional Prospectus*)

*Financial regulator's conflicts of interest are a serious concern*, THE HILL, (Dec. 8, 2017) (op-ed) (with Andrew Stoltmann) (discussing findings from report published by PIABA)

*Immigrants Need Better Protection—From Their Lawyers*, WALL STREET JOURNAL, (Nov. 27, 2017) (op-ed) (discussing concept from *The Professional Prospectus*)

*The Coming Trump Slump*, U.S. NEWS & WORLD REPORT, (Sept. 22, 2017) (op-ed) (with Sarah C. Haan)

*Time for Finra to pull back the curtain on its oversight and board*, INVESTMENTNEWS, (June 21, 2017) (op-ed)

*Bending the truth on the fiduciary rule*, FINANCIAL PLANNING, (June 8, 2017) (op-ed)

*Nevadans Deserve Honest Advice*, NEVADA INDEPENDENT, (May 12, 2017) (op-ed)

*Investors Pay If Wall Street Wins a Fiduciary-Rule Delay*, BLOOMBERGVIEW, (March 28, 2017) (op-ed) (with Christine Lazaro)

*A rules change from Trump means more money for Wall Street*, WASHINGTON POST, (Feb. 3, 2017) (op-ed)

*Financial advice is a thicket of conflicts. Wall Street wants to keep it that way*, WASHINGTON POST, (Dec. 29, 2016) (op-ed)

*Self-Regulation's Dark Side*, OXFORD BUSINESS LAW BLOG (October 2016)

*Corporate Whistleblowers & Arbitration*, OXFORD BUSINESS LAW BLOG (July 2016) (with Nizan Packin)

*Why Broker-Dealers and Financial Advisers Should Be Required to Carry Insurance*, INVESTMENTNEWS (June 15, 2016) (op-ed)

*Uncertainty as Litigation Strategy*, COLUMBIA LAW SCHOOL BLUE SKY BLOG (June 13, 2016) (with Cathy Hwang)

*Uncertainty as Litigation Strategy*, OXFORD BUSINESS LAW BLOG (June 14, 2016) (with Cathy Hwang)

*Should Corporate Whistleblowers Go to Arbitration?*, COLUMBIA LAW SCHOOL BLUE SKY BLOG (June 27, 2016) (with Nizan Packin)

*The Fragmented Regulation of Investment Advice: A Call for Harmonization*, COLUMBIA LAW SCHOOL BLUE SKY BLOG (Mar. 4, 2015)

*2015 Securities Law Update*, PUBLIC INVESTORS ARBITRATION BAR ASSOCIATION (2015) (conference materials)

*FINRA's Diversity Dilemma*, INVESTMENTNEWS (Nov. 16, 2014) (op-ed)

*Disaggregated Classes: A Different Claim Aggregation Method to Avoid SLUSA*, COLUMBIA LAW SCHOOL BLUE SKY BLOG (Aug. 11, 2014)

*Exam Scores and Failures Belong on BrokerCheck*, INVESTMENTNEWS (Jun. 1, 2014) (op-ed)

*The Inequality of Investor Access to Information* (with Christine Lazaro & Jason Doss), study released by PUBLIC INVESTORS ARBITRATION BAR ASSOCIATION, (Mar. 6, 2014)

*Annuity Suitability Concerns*, PRACTISING LAW INSTITUTE (2015) (with Christine Lazaro)

## **Comment Letters**

Letter Commenting on Department of Labor's Retirement Security Proposal, RIN 1210-AC02; Application No. D-12057; Application No. D-12060 (Dec. 28, 2023).

Letter Commenting on SEC's "Proposed Rule on Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers," File No. S7-12-23 (Oct. 10, 2023) (co-authored)

Letter Commenting on Financial Crimes Enforcement Network advance notice of proposed rulemaking "Anti-Money Laundering Program Effectiveness," Docket No. FINCEN-2020-0011, RIN 1506-AB44, 85 Fed. Reg. 58,023 (Sept. 17, 2020) (co-authored)

Letter Commenting on FINRA Rulemaking, File Number SR-FINRA-2020-030 (Oct. 12, 2020)

Letter Commenting on Proposed Model Act released by the North American Securities Administrators Association on Whistleblower Award and Protection (June 29, 2020) (co-authored)

Letter Commenting on FINRA Rulemaking, File Number SR-FINRA-2019-027 (Dec. 11, 2019)

Letter commenting on Nevada Payday Lending Legislation (May 9, 2019)

Letter commenting on Nevada Financial Planning Statute (Oct. 9, 2017)

Letter commenting on Financial Industry Regulatory Authority's Special Engagement Notice (June 14, 2017)

Letter commenting on Department of Labor's Proposal to Delay Fiduciary Duty Rule (Mar. 17, 2017) (with Nicole Iannarone)

Letter commenting on Department of Labor's Proposed Fiduciary Duty Rule (Sept. 23, 2015)

Letter commenting on FINRA's Comprehensive Automated Risk Data System (Dec. 2, 2014)

## **Blogs**

Editor, Business Law Prof Blog (Dec. 2017-Present)

Guest Blogger, *PrawfsBlawg* (Aug. 2016) (May 2017) (Nov. 2017)

## **SELECTED PRESENTATIONS**

*Presentation, Stockbroker Secrets*, National Business Law Scholars Conference (June 2023)

*Supreme Risk*, Securities and Exchange Commission (June 2023) (invited presentation)

*Panel Presentation, Environmental, Social, and Governance Developments*, International Conference on Gambling and Risk Taking (May 2023)

*Presentation, Protecting Clients from Scams and Frauds*, Nevada Trust Conference, Nevada Bankers Association (April 2023)

*Presentation, Ethical Obligations with Individuals, Entity Clients and Lawyers Serving as Client Representatives in Governance Roles*, Nevada Trust Conference, Nevada Bankers Association (April 2023)

*Presentation, Reg BI: Enforcement and Guidance at Two Years*, Institute for the Fiduciary Standard (Sept. 2022)

*Environmental, Social, and Governance Developments*, Miami Business Law Review, (Mar. 4, 2022) (symposium)

*Briefing on FINRA's Feb 9 Report on Examinations*, Institute for the Fiduciary Standard (Feb. 15, 2022)

*Class Action Dynamics*, Southern Nevada Women Lawyers Association (April 8, 2021) (CLE)

*The Board's Obligation in the Time of a Pandemic*, Greenberg Traurig Corporate Governance Webinar (Oct. 22, 2020)

*Whistleblowers: Endangered Canaries or Bounty Hunters?*, PIABA Securities Law Day (Oct. 20, 2020)

Panel Presentation, *The Financial Advice Landscape*, The Institute for the Fiduciary Standard (Sept. 2020)

Presentation, *Performance-Based Investor Protection*, Chapman Junior Faculty Works-In-Progress Conference (Sept. 20-21, 2019)

Presentation, *Performance-Based Investor Protection*, Berkeley Law School, National Business Law Scholar's Conference (June 21, 2019)

Presentation, *Performance-Based Investor Protection*, Law & Society Conference, Corporate and Securities Critical Research Network (May 2019)

Presentation, *Performance-Based Investor Protection*, Michigan State University College of Law, East Lansing, Michigan, Workshop on Corporate Law & Technology (April 24, 2019)

Presentation, *Performance-Based Investor Protection*, Indiana Law, Bloomington, Indiana, Workshop on Financial Regulation (April 5, 2019)

Presentation, *Uncovering the Hidden Conflicts in Securities Class Action Litigation: Lessons from the State Street*, Iowa Law, Workshop on Corporate Law (Dec. 5, 2018)

Presentation, *Venture Bearding*, Gonzaga University School of Law, Spokane (November 8, 2018)

Panel Presentation, *Crypto Currencies, Initial Coin Offerings, and Securities Regulation*, Public Investors Arbitration Bar Association Annual Meeting, Bonita Springs, Florida (Oct. 9, 2018)

Panel Presentation, *Ethical Issues in Dealing with Elderly Clients*, Public Investors Arbitration Bar Association Annual Meeting, Bonita Springs, Florida (Oct. 12, 2018)

Presentation, *Ethical Issues for Lawyers Serving on Corporate Boards*, Annual Meeting for the Rocky Mountain Mineral Law Foundation, Victoria, British Columbia (July 19, 2018)

- Presentation, *Venture Bearding*, National Business Law Scholar's Conference, Athens, Georgia (June 2018)
- Presentation, *Venture Bearding*, Law & Society, Toronto, Canada (June 2018)
- Presentation, *Cryptos, Coins, & Cons*, Legal Bootcamp, William S. Boyd School of Law (April 27, 2018)
- Invited Presentation, *Venture Bearding*, Loyola Law School, Los Angeles (April 5, 2018)
- Invited Presentation, *Venture Bearding*, Marquette Law School, Milwaukee, Wisconsin (Mar. 22, 2018)
- Presentation, *The Dark Side of Self-Regulation*, Boston University (financial regulation class, Dec. 7, 2017)
- Presenter, *Venture Bearding*, Rocky Mountain Junior Scholars Forum, Brigham Young University J. Reuben Clark Law School, (Nov. 16, 2017)
- Presenter, *Venture Bearding*, University of New Mexico School of Law, (Nov. 1, 2017) (workshop)
- Presenter, *Venture Bearding*, Florida International University College of Law, (Oct. 2, 2017) (invited workshop talk)
- Presenter, *Distributed Governance*, Loyola Law School, Los Angeles (September 2017)
- Presenter, *The Professional Prospectus*, South Eastern Association of Law Schools, Boca Raton, (August 2017)
- Presenter, *The Professional Prospectus*, Law & Society Association, Mexico City, Mexico (June 2017)
- Presenter, *The Professional Prospectus*, National Business Law Scholars Conference, University of Utah, Salt Lake City, Utah (June 2017)
- Presenter, American Association of Law Schools, *The Person Prospectus* (Jan. 2017) (competitively selected works-in-progress panel for AALS Professional Responsibility Section)
- Presenter, American Association of Law Schools, *Whistleblowers: The Last Line of Defense* (Jan. 2017) (Presenting *Regulating Culture*)
- Invited Presenter, *The Professional Prospectus*, University of Kentucky College of Law (March 2017)
- Presenter, *Regulating Culture*, National Business Law Scholars Conference, University of Chicago, Chicago, Illinois (June 2016)
- Presenter, *Conflicts & Capital Allocation*, National Business Law Scholars Conference, University of Chicago, Chicago, Illinois (June 2016)
- Presenter & Panel Chair, *Conflicts & Capital Allocation*, Law & Society, New Orleans, Louisiana (June 2016)
- Presenter, *Conflicts & Capital Allocation*, American Society of Comparative Law, Younger Comparativists Committee Second Workshop on Comparative Business & Financial Law, Davis, California (February 2016)



Presenter, *2015 Securities Law Update*, Annual Meeting, Public Investors Arbitration Bar Association, Ponte Vedre, Florida (Oct. 2015)

Presenter, *Insider Trading Law & Liability*, Michigan Bureau of Investments, East Lansing, Michigan (Aug. 2015)

Presenter, *Conflicts & Capital Allocation*, National Business Law Scholars Conference, Seton Hall University School of Law, Newark, New Jersey (June 2015)

Presenter, *The Value of Uncertainty*, National Business Law Scholars Conference, Seton Hall University School of Law, Newark, New Jersey (June 2015) (with Cathy Hwang)

Presenter, *New Securities Law Developments*, Annual Meeting, Public Investors Arbitration Bar Association, La Quinta, California (Oct. 2014)

Panelist, *Developments in Broker-Dealer and Investment Adviser Regulation*, Midwest Securities Law Institute, Michigan State University College of Law, East Lansing, Michigan (Oct. 2014)

Presenter, *Disaggregated Classes*, Junior Faculty Workshop, Michigan State University College of Law, East Lansing, Michigan (Sept. 2014)

Presenter, *Consumer Debt*, Midwest Clinic Teachers Conference, Michigan State University College of Law, East Lansing, Michigan (Sept. 2014)

Colloquium Host & Presenter, *Disaggregated Classes*, Junior Scholars Virtual Colloquium, Michigan State University College of Law, East Lansing, Michigan (August 2014)

Presenter, *The Fragmented Regulation of Investment Advice: The Case for Harmonization*, Securities Arbitration Clinic Roundtable, Fordham Law School, New York, New York (June 2014)

Presenter, *Disaggregated Classes*, National Business Law Scholars Conference, Loyola Law School, Los Angeles, California (June 2014)

Panelist, *Protecting Yourself from Investment Fraud*, SENIOR TOWN HALL MEETING, Grand Ledge, Michigan (May 2014)

Presenter, *Disaggregated Classes*, American Association of Law Schools Clinical Conference, Chicago, Illinois (Apr. 2014)

Guest Speaker, *Lessons for Young Auditors From Bernard Madoff's Ponzi Scheme*, ELI BROAD COLLEGE OF BUSINESS AT MICHIGAN STATE UNIVERSITY, East Lansing, Michigan (Apr. 2014)

Presenter, *Informed Investing & Red Flags for Fraud*, LANSING ELDER ABUSE TASK FORCE, Lansing, Michigan (Apr. 2014)

Presenter, *Pizza & Ponzi Schemes*, MICHIGAN STATE COLLEGE OF LAW (Mar. 2014)

Presenter, *Disaggregated Classes*, Michigan State University College of Law, Junior Faculty Workshop, East Lansing, Michigan (October 2013)

Presenter, *Disaggregated Classes*, Junior Scholars Virtual Colloquium, Gonzaga University School of Law, Spokane, Washington (July 2013).

Guest Speaker, *Breaking Down Bernard Madoff's Ponzi Scheme*, ELI BROAD COLLEGE OF BUSINESS AT MICHIGAN STATE UNIVERSITY (Apr. 2013)

Presenter, *Protecting Yourself from Investment Fraud*, RETIRED & SENIOR VOLUNTEER PROGRAM, Lansing, Michigan (May 2014)

Guest Speaker, *Immigration Law for Social Work Professionals*, MICHIGAN STATE UNIVERSITY SCHOOL OF SOCIAL WORK (Nov. 2012)

Panelist, *The Importance of Coming Out*, MICHIGAN STATE UNIVERSITY COLLEGE OF LAW TRIANGLE BAR ASSOCIATION (Oct. 2012)

Presenter, *When Fear Rules in Law's Place*, Michigan State University College of Law, Junior Faculty Workshop, East Lansing, Michigan (October 2012)

### **SELECTED MEDIA COVERAGE**

*Will Trump's most loyal ally be punished? Democrats donate millions to unseat Lindsey Graham and flip the Senate*, THE TELEGRAPH, Oct. 21, 2020 (quoted)

*Yes, It's Time for Lindsey Graham to Go*, VOGUE, Oct. 17, 2020 (quoted).

*Lindsey Graham whines about Jaime Harrison's cheddar*, WASHINGTON POST, Oct. 14, 2020 (quoted).

*When New Investor-Protection Rules Come Up Short, States Step In: Client's win in Nevada shows how stronger state-level securities laws can take hold as federal rules change*, WALL STREET JOURNAL, Sept. 6, 2019 (covered)

*S.E.C. Adopts New Broker Rules That Consumer Advocates Say Are Toothless*, NEW YORK TIMES, June 5, 2019 (quoted)

*SEC's Broker Rule to Require Bias Disclosures; Effect on Pay Practices Unclear*, WALL STREET JOURNAL, June 4, 2019 (quoted)

*SEC 'guts' RIA industry with a footnote, degrading fiduciary duty*, FINANCIAL PLANNING, June 12, 2019 (quoted)

*Carl Icahn poised for significant Caesars board influence*, Feb. 20, 2019 (quoted)

*Money Stuff: Running a Hedge Fund Isn't All Bad*, BLOOMBERG, Jan. 23, 2019 (quoted)

*The Latest Trend in Mobile Gaming: Stock-Trading Apps*, WALL STREET JOURNAL, Jan. 22, 2019 (quoted)

*The Persistence of High-Fee Index Funds*, WEALTH MANAGEMENT, Nov. 19, 2018 (quoted)

*FINRA board rife with conflicts of interest, new report finds*, FINANCIAL PLANNING MAGAZINE, Nov. 15, 2017 (quoted, discussing co-authored report)

*Why You Still Can't Trust Your Financial Adviser*, BLOOMBERG, June 7, 2017 (quoted)

*As Fiduciary Rule Gets Reviewed, Class-Action Provision Is Under Microscope*, WALL STREET JOURNAL, March 15, 2017 (quoted)

*Donald Trump Victory Casts Clouds Over Fiduciary Rule*, WALL STREET JOURNAL, Nov. 11, 2016 (quoted)

*Finance Execs Fill 'Public' Board Seats at Finra, the Regulator that Promises Investor Protection*, THE STREET, Aug. 26, 2016 (quoting from draft law review article)

*FINRA Candidate Says He Would Represent Firms, Not Investors*, ONWALLSTREET, July 6, 2015 (quoted)

*How to Win at Mediation*, THE WALL STREET JOURNAL, Mar. 9, 2015 (quoted)

*FINRA Chips Land In Industry Purse After CARDS Match*, Law360, May 4, 2015 (quoted)

*FSI Warns of False Positives under CARDS*, INVESTMENTNEWS, Dec. 1, 2014 (quoting from comment letter)

*FINRA's Broker Oversight Under Fire: Report Criticizes Regulator for Stripping Out Black Marks From Firms' Disclosures to Investors*, THE WALL STREET JOURNAL, Mar. 7, 2014, at C1 (covering co-authored study)

*Should Broker's exam scores and failures be added to BrokerCheck?*, NEW YORK BAR SECURITIES LITIGATION AND ARBITRATION BLOG, June 5, 2014 (covering op-ed in INVESTMENTNEWS)

*Reed, Grassley Statement on FINRA Withholding Critical "Red Flag" Information In BrokerCheck System*, OFFICE OF U.S. SENATOR JACK REED, Mar. 7, 2014 (responding to co-authored study)

*Finra seeks to tighten investor dispute rules*, INVESTMENTNEWS, Jun. 18, 2014 (quoted)

*Trust But Verify: Regulators Demand Banks Verify Brokers' Background*, FORBES, May 13, 2014 (covering co-authored study)

*Hiding In Plain Sight: Is Your Financial Advisor's Past Being Fully Disclosed?*, FORBES, Mar. 13, 2014 (covering co-authored study)

*Three Ways To Vet Your Broker*, FORBES, Mar. 5, 2014 (covering co-authored study)

*U.S. Investor Lawyers Seek New Law To Beef Up Broker Disclosures*, REUTERS, Mar. 6, 2014 (covering co-authored study)

*Checking Up On Your Broker*, KIPLINGER, May 9, 2014 (covering co-authored study)

*FINRA Criticized For Deleting Red Flags From Broker Records*, MARKETWATCH, Mar. 7, 2014 (covering co-authored study)

*FINRA Ready To Impose More Disciplinary Actions Against Brokers That They Can Fail To Report*, DEALBREAKER, Mar. 6, 2014 (covering co-authored study)

*Can FINRA Serve Investors And The Industry?*, INVESTMENTNEWS, Mar. 16, 2014 (covering co-authored study)

*FINRA Approves Background Checks For Brokers*, INVESTMENTNEWS, Apr. 24, 2014 (covering co-authored study)

*PIABA Claims that FINRA is Withholding Critical "Red Flag" Information in Broker Background Check Disclosures to Investors*, NEW YORK STATE BAR ASSOCIATION SECURITIES LITIGATION & ARBITRATION BLOG, Mar. 6, 2014 (mentioned)

*Invested*, LEGALNEWS, June 26, 2013 (profiled)

*Clinic Gives Law Students Real Experience*, STATE NEWS, July 10, 2013 (quoted)

*A Big Win For Transgender Rights*, FEMINISTING.COM, Oct. 23, 2009 (covered)

*Transgender Name-Change Victory In New York*, EXAMINER.COM, Oct. 21, 2009 (covered)

## **SERVICE**

### **University of Nevada, Las Vegas William S. Boyd School of Law**

Wellness Committee (2017)  
Bylaws Committee (2020-2021) (2022-2023) (2023-2024)  
Retention, Promotion, and Tenure Committee (2023-2024)  
Clinic Committee (2017-2020) (2022-2023)  
ABA Site Visit Committee (2018-2020)  
Nevada Law Journal/Gaming Law Journal Committee (2017)  
Just & Inclusive (2021-2022)  
Honor Code (2021-2022)  
Faculty Adviser, Cannabis Law Society (2022- Present)

### **Nevada State Board of Finance**

Public Member (2022-2026)

### **Institute for the Fiduciary Standard**

Frankel Fiduciary Prize Selection Committee (2023-Present)

### **American Association of Law Schools**

Member, Executive Committee, Section on Professional Responsibility (2019-Present)  
Section Chair (2024-2025)  
Section Chair-Elect (2023-2024)  
Secretary (2022-2023)  
Treasurer (2021-2022)  
Chair, 2021 Junior Scholar Workshop Committee (2019-2020)  
Chair, Newsletter Committee (2019-2020)  
Member, Executive Committee, Section on Securities Regulation (2021-Present)  
Section Chair (2023-2024)  
Section Chair-Elect (2022-2023)  
Member, Executive Committee, Section on Transactional Law & Skills (2022-Present)  
Section Chair (2024-2025)  
Chair-Elect (2023-2024)

### **Public Investors Advocate Bar Association**

Board of Directors (elected 2017-2020, 2023-Present)  
State Legislation (2017) (co-chair)  
Self-Regulatory Organization (SRO) Committee, 2012-2017  
Amicus Committee, 2013, 2014-2016 (co-chair), 2017, 2018-2020 (co-chair), 2021-Present  
Legislation Committee, 2013-2017  
Fiduciary Committee, 2015-2017  
Securities Law Seminar Committee (2021-Present)

**Barry University Dwayne O. Andreas School of Law**

Student Services Committee, 2015-2016

Mission Effectiveness Committee, 2015-2017

American Association of Laws Schools Task Force, 2016-2017

**Michigan State University College of Law**

Technology Committee, 2013-2015

Internal Review Committee, 2012-2015

**ACADEMIC & PROFESSIONAL MEMBERSHIPS**

- Fellow, American Bar Foundation
- State Bar of New York
- State Bar of Michigan
- State Bar of Nevada
- Public Investors Advocate Bar Association

**MISCELLANEOUS**

- Co-Chair, Corporate Governance Summit (2019) (2021) (2022) (2023)
- Fellow, American Bar Foundation (2022-Present)
- Outstanding Service Award,  
PUBLIC INVESTORS ADVOCATE BAR ASSOCIATION (2016)
- Self-Regulatory Organization (SRO) Committee Service Award,  
PUBLIC INVESTORS ADVOCATE BAR ASSOCIATION (2013, 2014, 2015, 2016, 2017)
- Fiduciary Duty Committee Service Award,  
PUBLIC INVESTORS ADVOCATE BAR ASSOCIATION (2016, 2017)
- Legislative Committee Service Award,  
PUBLIC INVESTORS ADVOCATE BAR ASSOCIATION (2014, 2015)
- Amicus Committee Service Award,  
PUBLIC INVESTORS ADVOCATE BAR ASSOCIATION (2015)
- Pro Bono Achievement Award, SANCTUARY FOR FAMILIES (2012)
- Pro Bono Award, SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP (2008—2012)
- Member, COLUMBIA JOURNAL OF GENDER & LAW (2004)
- Externship, United States Magistrate Judge James C. Francis IV (2005)
- Intern, United States Fourth Circuit Court of Appeals Judge William B. Traxler, Jr. (2003)
- Peer Reviewer, Jurimetrics Journal (2015)